

2021 OECD Forum on Responsible Mineral Supply Chains

PARTNER SESSION AGENDA

This document will be updated periodically with additional session and registration information.

Monday 26 April 2021

ASM Support by the EPRM in relation to the EU Conflict Minerals Regulation

by the European Partnership for Responsible Minerals

10:00 - 11:00

EPRM will highlight why support for ASMs in producing countries is important for the implementation of the EU Conflict Minerals Regulation (EU CMR) and how EPRM contributes to this on the ground as being an accompanying measure to the Regulation. Two EPRM funded projects present their contribution, lessons learned and work in relation to the EU CMR and a panel will discuss the impact of the EU CMR on ASM and necessary actions to be taken.

For more information on this session, please see website

Registration link: GoToWebinar

	COVID-19 and the resiliency of illicit gold markets: Perspectives from Migori, Kenya
11:00 - 12:00	by the Global Initiative against Transnational Organized Crime
	The COVID-19 crisis had a significant impact on global ASM gold supply chains during 2020, highlighting not only the vulnerability of mining communities to supply chain disruptions and price shocks, but also the resiliency of illicit gold markets. This session gives voice to various actors, including miners and gold buyers, from Migori, Kenya to share their knowledge, experiences and perspectives on gold markets and the impact of COVID-19. The session will also give a preview of the forthcoming report Illicit gold markets in east and southern Africa. Registration link forthcoming
	Swiss Proposal for a Better Distinction of Gold in Customs Statistics
11:00 -12:00	By the Swiss Customs Administration (SCA) and State Secretariat for Economic Affairs (SECO)
	The current customs tariff classification does not distinguish between mined gold traded before refining and already refined gold, e.g. in the form of ingots. Switzerland has submitted a proposal to the World Customs Organization (WCO) allowing for this distinction. Switzerland has independently applied this distinction since 1.1.2021 and made first experiences. For more information on this session, please visit the website and contact
	olivier.bovet@seco.admin.ch if interested in joining
12:00 -13:15	Artisanal cobalt production in the DRC: Towards a safe and just transition
	by Entreprise Générale du Cobalt (EGC)
	Artisanal and small-scale cobalt mining in the Democratic Republic of the Congo (DRC) is undergoing fundamental reform. The DRC Government created Entreprise Générale du Cobalt (EGC), a Congolese state-owned mining company, in November 2019. EGC holds the monopoly for the purchase, process and sale of all cobalt produced by artisanal miners or companies involved in artisanal and small-scale mining (ASM) in the DRC. In October 2020, Trafigura entered into an offtake agreement with EGC for cobalt. A component of the agreement included support by Trafigura to EGC and its partners for the development of responsible mining controls and traceability associated with ASM cobalt production.
	A central component of fulfilling EGC's mission is ensuring that artisanal and small-scale cobalt production is undertaken in accordance with standards defined by the Technical Committee that ensure decent working conditions and eliminate the key social, ethical and environmental risks that have historically plagued artisanal cobalt production. Responsible sourcing

specialists, Kumi Consulting are playing an important assurance role in this process on behalf of Trafigura. The objectives for this session are to: Present the purpose and objectives of EGC and the implications for ASM cobalt practices in the DRC and the wider cobalt market. Introduce the role of the EGC Technical Committee and its supporting partners. • Present the EGC's responsible sourcing programme, including the EGC Responsible Sourcing Standard and its implementation Registration link forthcoming Delve Exchange Global Forum: Sharing ASM practices for surviving the pandemic by The World Bank, The University of Queensland, and The Association of Women in Mining in Africa, OECD and UNDP Artisanal and Small-scale Mining national networks and associations have played a critical role during the COVID-19 crisis coordinating short-term relief 12:00 -13:15 and assistance in mining communities and advocating strongly on behalf of the sector. This Global forum will be an opportunity to hear directly from ASM associations, and to launch the Delve Exchange, a new platform to facilitate peer-support between geographically dispersed ASM associations and communities. Please register in advance to attend this session. For more information, contact Professor Daniel Franks d.franks@ug.edu.au The Revised ICGLR Regional Certification Mechanism Manual – Status update on the 3TG Due Diligence in the Great Lakes Region by International Conference for the Great Lakes Region (ICGLR) The session will feature the major improvements in the revised ICGLR Regional Certification Mechanism (RCM) Manual and update the audience on the status and pace of its implementation by ICGLR Member States and the 13:00 -14:15 increased number of 3TG exporters applying for the ICGLR third-party audits. The session will also strive to demonstrate how the revised RCM Manual is fully aligned with the OECD Due Diligence Guidance while attempting to overcome region-specific challenges related to access of responsibly mined and traded 3TG minerals to the international market. For more information, please contact gerard.nayuburundi@icglr.org Walking the Talk on ASM Assurance and Impact: How Better Mining Data from 38 ASM Sites is Used to Drive **Improvement** by RCS Global The Better Mining ASM assurance and impact programme, implemented by RCS Global since 2015 and scaled together with the RMI, in 2020 delivered risk 14:00 -15:00

and impact data from 38 cobalt, copper, and 3TG ASM sites for an increasing number of leading downstream companies, smelters, refiners, and mining operators. Companies supporting and leveraging Better Mining currently include Volvo Cars, Intel, Cisco, TechTronic Industries (TTI), and others. This session will highlight nuanced risk data trends observed, lessons learnt regarding successful ASM risk Mitigation from 480 Corrective Action Plans (CAPs), and innovative digital risk management solutions, and allow downstream companies, smelters, and a participating cooperative to share how they leverage the data and the program.

Please register in advance to attend this session.

For more information on this session, please contact <u>ana@rcsglobal.com</u>

Driving responsible ASM cobalt production and sourcing through multisector engagement

by the Responsible Minerals Initiative (RMI) and Responsible Cobalt Initiative (RCI)/ Fair Cobalt Alliance (FCA)

14:00 -15:15

The challenges around formalization of the ASM cobalt sector and global acceptance of ASM cobalt into downstream supply chains require significant investment, stakeholders will reflect on the goals and efforts underway to achieve inclusivity and sector-wide change, the development of a globally accepted framework for responsible ASM and the necessity for partnership and investment to create a more responsible ASM cobalt sector. Simultaneous Interpretation (French/English/Chinese) will be provided for this session.

If you have any questions on this session, you can email Alex Cech at acech@responsiblebusiness.org.

Registration: https://rba.swoogo.com/RMI OECDSS2021

Operationalising of the OECD Due Diligence in the Watch and Jewellery Supply Chain

by the Responsible Jewellery Council

14:00 - 15:15

Learnings across the value chain following the roll out of the RJC Code of Practices 2019 and operationalising the implementation of the OECD Due Diligence Guidance in the diamond and coloured gemstones supply chains.

- 1. Share update on current status of how companies are operationalising the OECD Due Diligence Guidance in practice.
- 2. Show challenges and opportunities on the implementation.
- 3. Share best practices with a focus on SMEs

For more information, please visit the event <u>webpage</u>

Due Diligence Beyond CAHRAs

by the Initiative for Responsible Mining Assurance

15:00 -16:00	While the identification of mining suppliers operating in conflict-affected and high-risk areas (CAHRAs) is viewed by many as a critical early step in responsible minerals sourcing, the reality is: some of the world's most devastating large-scale mining disasters over the last few years have occurred in countries not included on that list. In order to prevent these types of catastrophes, we must measure performance at individual mines and transparently report on performance, rather than predicting risks based on geography alone. For more information on this session, please visit the event webpage or contact rburton@responsiblemining.net
15:00 -16:15	Support to the ASM mica communities in Madagascar by the Responsible Mica Initiative Early 2021, the US Department of Labor announced a US\$4.5 million cooperative agreement to strengthen resiliency of mica ASM communities in Madagascar and end child labor practices. This session will invite different stakeholders, including grantees (UNDP, UNICEF, Responsible Mica Initiative and Terre des Hommes Netherlands), to present the 4.5 years project, as well as allow participants to share their views and ideas for a joint and collaborative approach. For more information, please contact fanny.fremont@responsible-mica-initiative.com
16:00 -17:15	OECD Practical Tool on Environmental Due Diligence in Mineral Supply Chains by the German Environment Agency (UBA) and Federal Institute for Geosciences and Natural Resources (BGR) Environmental issues and related risks in mineral supply chains have attracted increased attention by companies as well as by responsible sourcing initiatives. A new OECD Practical Tool shall therefore be developed to support implementation of environmental due diligence by companies. To kick-off a multi-stakeholder process this session will inform about the initiative, share perspectives from stakeholders and invite interested parties to contribute to the process. Inputs from OECD and Germany (UBA, BGR) as well as from Brazil, IUCN, UNEP and the Responsible Minerals Initiative will inspire the debate. For more information, please see the website or contact jan.kosmol@uba.de, gudrun.franken@bgr.de and for organizational matters: silke.tuchelt@uba.de
16:00 -17:15	Launch of Material Insights Platform: Risk Data to Facilitate Due Diligence in Mineral Supply Chains by the Responsible Minerals Initiative (RMI) and TDI Sustainability Material Insights is an initiative of the Responsible Minerals Initiative (RMI), designed and developed by TDI Sustainability for value chain stakeholders. It builds on the widely used Material Change report published in 2018 and

	enables the broadest possible access to relevant global ESG and sustainability performance-related intelligence on more than 50 minerals.
	For more information, please contact akilmister@tdi-sustainability.com
17:00 - 18:00	Building up Scalable Market-driven Solutions for ASM Gold by the Responsible Minerals Initiative (RMI) The group will highlight several projects in various conflict-affected and highrisk areas globally aimed at scaling up and systematizing solutions for AGSM, whether through implementation of open-source multi-stakeholder developed CRAFT standard or through utilizing traceability due diligence systems and data solutions. If you have any questions on this session, you can email Marianna Smirnova at msmirnova@responsiblebusiness.org. Registration: https://rba.swoogo.com/RMI_OECDSS2021
18:00 -19:15	Free, Prior and Informed Consent (FPIC) and Human Rights Due Diligence by the Equitable Origin The right to FPIC is a key principle of international human rights law to protect Indigenous Peoples' livelihoods and territories and is an important part of human rights due diligence when projects affect Indigenous Peoples. This session explores the application of an innovative due diligence tool from a company perspective, focusing on FPIC and human rights in mineral supply chains. For more information, please contact akilmister@tdi-sustainability.com
18:00 -19:15	Public-private Co-creation for Responsible Minerals Supply Chains and Communities by the Public-Private Alliance for Responsible Minerals Trade With over a decade of formal and informal partnership on responsible supply chain of minerals, tripartite actors are finding additional ways to collaborate, leveraging long-term donor presence and investment, NGO expertise, and private sector interest and funding. This has evolved on at least two fronts, including the following: • Modalities of project scoping and funding, including "co-creation" of funded projects; and • Substantive focus of projects, including community interventions to address human rights impacts in mining regions, to support risk mitigation and complement other due diligence interventions.

In this panel, we will hear examples of evolving public-private models to support responsible minerals supply chains, including mining community projects, followed by an interactive discussion among participants about opportunities and recommendations on the types of investment and evolution of public-private partnership to enhance benefits in upstream supply chains and mining communities.

Please register to receive the call-in information by 23 April.

For more information, please see <u>PPA's website</u> or contact Jennifer Peyser, <u>jpeyser@resolve.ngo</u>

Thursday 29 April 2021

	·p··· =
	The European Partnership for Responsible Minerals (EPRM) discusses Due Diligence Support for Downstream Actors in light of the EU Conflict Mineral Regulation
	by the European Partnership for Responsible Minerals
10:00-11:00	In this session, the EPRM will discuss existing support for downstream actors including the EPRM Due Diligence Hub, the EC Due Diligence Ready! Portal and the EPRM Due Diligence Check. The session will further consult the participants on gaps of support and remaining needs.
	For more information on this session, please <u>see website</u>
	Registration link: <u>GoToWebinar</u>
	Enjeux et défis liés à la gouvernance du secteur minier guinéen : Évaluation de la performance d'un secteur éprouvé par la corruption, l'inégalité et l'insécurité
	Organisé par Le consortium ONG Actes Durables et CECIDE
10:00-11:10	La Guinée dispose de ressources naturelles abondantes et diversifiées qu'elle peine encore à transformer pour réaliser un développement humain et durable. Selon le rapport ITIE 2017, l'exploitation minière représente environ 13% du PIB, 20% des recettes publiques et 80% des recettes d'exportation. Cependant, il est confronté à de grands défis (corruption, inégale et insécurité) dont il faut rapidement faire face pour assurer sa durabilité.
	Registration link forthcoming
	Progressing OECD-based due diligence in base metal supply chains
10.00 14.00	by the International Tin Association and Responsible Minerals Initiative
13:00 – 14:00	This joint session will bring together representatives of the tin, copper, nickel, zinc and lead associations, the downstream sector, and the London Metal Exchange (LME) to discuss progressing OECD based due diligence in base

metal supply chains. There are a handful of new metals/mining standards

that are currently under development or have been recently issued that are designed to be aligned with the OECD Due Diligence Guidance. This session will showcase these standards and will share insights into the key drivers and considerations for the development of these standards, share key features of the standards, and describe how they can be used to support regulatory and market requirements.

If you have any questions on this session, you can email Stephen Taiwo at <u>Stephen.Taiwo@internationaltin.org</u>.

Registration: https://rba.swoogo.com/RMI_OECDSS2021

Implementing ESG Due Diligence and Transparency in Extractive Commodity Trading

by RMF (Responsible Mining Foundation)

In a first attempt to measure implementation of existing trading-relevant guidance on due diligence and responsible business conduct (e.g. from OECD, EITI, UNGP, Swiss government, and LBMA), RMF conducted a pilot study to assess ESG due diligence and transparency policies and practices among a sample of 25 companies that have significant activities in the trade of oil, gas, metals or minerals. In this session RMF will briefly present the results and learnings of this report; to provide an initial sectorial baseline, highlighting gaps and good practices seen across the industry. The session will then be open for discussion, with a panel of speakers representing different stakeholder groups commenting on the progress and the challenges of implementation of ESG standards and norms for extractive commodity trading companies.

14:00 - 15:15

Please register in advance to attend this session: www.event.extractivecommoditytrading.org

For more information on this session, please contact contact@responsibleminingfoundation.org

Sustainability Audits and Assessments – Challenges and opportunities for SMEs in metal supply chains

by the Metal Alliance for Responsible Sourcing (Mars)

14:00-15:00

This 1 h session will explore, from a practitioner's standpoint, what challenges and opportunities arise for SMEs when confronted with an increasing amount of Supplier Assessments and Audits both at own and business partner facilities. It will also discuss the extent to which an integrated and holistic sustainability management standard might be a feasible solution that addresses the needs from business partners as well as legislation and establishes compliance with regard to the necessary independent third-party verification process.

For more information, please contact goellrich@mars-alliance.com

	Incorporating ESG into Responsible Sourcing and Production
	by the Responsible Minerals Initiative (RMI)
15:00-16:00	Effectively and efficiently blending OECD DD and ESG is an increasing challenge for upstream and downstream actors in the minerals supply chain. This panel will discuss some real-world approaches to addressing environmental issues, what due diligence around those means in practice and some potential challenges faced by companies.
	If you have any questions on this session, you can email Leah Butler at lbutler@responsiblebusiness.org .
	Registration: https://rba.swoogo.com/RMI_OECDSS2021
	Outsourcing in Peru, Colombia and Bolivia: Identify and understand the hidden risks in mining supply chains
	by CNV Internationaal
15:00-16:15	Outsourcing can involve risks which lead to violations of labour rights, moreover, these outsourcing practices can often be difficult to identify through standard due diligence measures. In this session a case study will be presented by various participants of the IRBC Agreements (a Dutch multistakeholder initiative consisting of companies, investors, and trade unions), who will also share their experiences, best practices, and perspectives on how to mitigate the risks related to outsourcing in the mining value chain.
	For more information, please <u>see website</u> or contact <u>m.vanbeers@cnv.nl</u>
	Launch of the Gemstones and Jewellery Community Platform: Joining Forces for a Responsible Coloured Gemstones and Jewellery Industry by the Coloured Gemstones Working Group
15:00 -16:30	The Coloured Gemstones Working Group (CGWG) unites leading luxury brands and mining companies, driven by a shared commitment to catalyse positive change in the coloured gemstones and jewellery industry. After five years of collaboration, in occasion of the 2021 OECD Forum, the CGWG launches the Gemstones and Jewellery Community Platform, with the purpose to provide free learning resources and capacity building tools, facilitate education, encourage continuous improvement, and promote engagement and collaboration between businesses and their suppliers, customers, and other partners.
	For more information, please contact <u>akilmister@tdi-sustainability.com</u>
16:00-17:00	Collaboration and benchmarking between good practice mining and metals standards to simplify responsible sourcing

	by the International Council on Mining and Metals' (ICMM) Mining Principles and the Responsible Minerals Initiative (RMI)
	To help mitigate risks in mineral value chains and to promote more responsible mining practices, a number of sustainability standards and norms have been developed such as the International Council on Mining and Metals' (ICMM) Mining Principles and the Responsible Minerals Initiative (RMI) Risk Readiness Assessment. Recognising that having multiple standards can make it difficult for interested stakeholders to understand how they compare, ICMM, RMI and other standard-owners will present how they are collaborating to develop equivalency tools which enable simplification, efficiency and transparency around good practice and standards, in support of enhanced due diligence and responsible sourcing.
	Registration link forthcoming, meanwhile please contact <u>Hafren.williams@icmm.com</u> and/or <u>Jojo.yexley@icmm.com</u>
	Responsible Minerals Sourcing in the Manufacturing Industry: Best Practices and Future Challenges
	by iPoint-systems
16:00 -17:00	This session provides first-hand insights into responsible sourcing best practices of manufacturers and their challenges that lie ahead. The panelists are representatives of and experts on industries with complex products and multitiered value chains with several thousand suppliers who have been dedicated to responsible sourcing practices for several years.
	Please register in advance to attend this session: https://ipoint.typeform.com/to/wgMmgXFn
	Contact for further information: katie.boehme@ipoint-systems.de
	Putting the Kimberley Process into practice by working together at regional level: The experience of the Mano River Union
16:00-17:15	by the European Commission
16:00-17:15	This session will focus on the good practice of regional cooperation in the Mano River Union (Sierra Leone, Liberia, Guinea and Côte d'Ivoire) on the implementation of the Kimberley Process Certification Scheme (KPCS) for rough diamonds. It will also examine its complementarity to the OECD Due Diligence Guidance, as well as other responsible sourcing initiatives linked to artisanal mining, such as the Maendeleo Diamond Standards (MDS).
	For more information, please contact <u>EC-KIMBERLEY-PROCESS@ec.europa.eu</u>
	A Value Chain Dialogue on Deep-Sea Minerals and Appropriate Due Diligence
17:00-18:00	by the World Economic Forum's Deep-Sea Minerals Dialogue

Cobalt, nickel, copper, rare-earths and other minerals from the deep-sea could enter supply chains within the decade. How could this potential source of minerals be evaluated using existing responsible sourcing frameworks?

For more information on this session, please see <u>event registration page</u> or contact <u>Winnie.yeh@weforum.org</u>.

The role of multistakeholder initiatives in ensuring human rights due diligence on the private security supply chain

by the International Code of Conduct Association (ICoCA) & Volunary Principles on Security and Human Rights (VPSHR)

17:00-18:00

This session will look at the role of the Voluntary Principles on Security and Human Rights (VPSHR) and the International Code of Conduct for Security Providers' Association (ICoCA), in providing effective human rights due diligence mechanisms to ensure that the security providers contracted by mining companies act responsibly. The session will consider how these mechanisms can future proof responsible mining companies given the rising calls for mandatory human rights due diligence of multinational corporations supply chains.

For more information, please contact c.galvin@icoca.ch

Friday 30 April 2021

Advancing the agenda toward generation equality in the watch and jewellery industry

by the Responsible Jewellery Council

14:00 -15:15

- 1. Status update and priorities to be tackled based on RJC survey and multistakeholder conversations held.
- 2. Multistakeholder perspective from mining to retail, including ASM.
- 3. Call to action; how we can cooperate better and share tools and best practices.

For more information, please visit the event webpage

The illegal gold rush fueled by the pandemics in the Amazon: Social and environmental crisis and the role of the private sector on market solutions

by the World Wildlife Fund for Nature

14:00-15:30

The session seeks to lay out the environmental and social (focus on human health) impacts of small and medium illegal gold mining on Amazonian traditional communities, as enhanced by the boom in gold prices during the pandemic; and lay out how, within an unfavourable political context, market

solutions alongside private sector agents of the gold supply chain may help contain the worst effects whilst, also generating opportunities for community sustainable development. With participation of WWF-BRASII, Escolhas Institute (Brasil) focusing on socieconomic issues and APIB (Organization of Indigenous People's of Brasil). Registration link forthcoming Accelerating Innovation for Artisanal & Small-Scale Mining in the Amazon by Conversation X Labs In this session, we will facilitate a discussion to highlight some of the innovations identified during the first round of the challenge and discuss opportunities to 15:00- 16:00 connect innovators with strategic partners. We will discuss the particular relevance and importance of carrying out this work in the Amazon and ways the private sector can work together with non-profit organizations and governments in the region to identify and scale solutions to the environmental impacts of ASGM. For more information, please contact rosa@conservationxlabs.org and carmen@conservationxlabs.org The Lake Victoria Gold Programme: Professionalising Artisanal and Small-Scale Gold Mining in East Africa by The Impact Facility The Lake Victoria Gold Programme is a four-year programme to professionalise artisanal and small-scale gold mining (ASGM) organisations in Kenya, Tanzania and Uganda. A consortium of partners led by The Impact Facility are collaborating with dozens of mines in the region to facilitate improved access 15:00- 16:00 to formal gold markets, while also improving working conditions. This involves not only the reduction of the use of harmful mercury, but also assisting mines in the legalisation process, improving working conditions and bolstering the position of women whom are often marginalised within the mines' microeconomic structure. For more information, please contact akilmister@tdi-sustainability.com Madini Project: Enabling environment for OECD conformant supply chains from DRC by the Madini project, led by International Alert and funded by the Dutch Ministry of Foreign Affairs 15:00 -16:15 The session will draw on interventions from the ICGLR, GDRC, industry associations, upstream assurance mechanisms and Madini representatives to explore what needs to be done and by whom to ensure a coherent and consensus-based enabling environment for the effective implementation of the OECD DDGs in DRC.

	Outputs of the session will be incorporated into the Madini project* supply chain model in support of locally driven multi-stakeholder security planning and monitoring, ASM supply chain improvements and local community demobilisation efforts and ongoing advocacy activities.
	*The Madini Project is a 4 year project Dutch funded project working on security, supply chain integrity and tax harmonization across 182 gold and 3T sites in 5 territories of South Kivu and Ituri Provinces of eastern DRC.
	For more information, please contact Erik Kennes, <u>EKennes@international-alert.org</u>
	The Flow of Gold from Venezuela
	by the IR Consilium
16:00- 17:00	This session shares key findings from a 2021 study of gold flows out of Venezuela. The pandemic, legal and regulatory developments, changes within Venezuela, and new dynamics among external actors have all shifted the means by which gold moves out from Venezuela to other parts of the world. This session will examine how and why things have changed, who is involved, where the gold is going, and what can be done to reduce illicit activity.
	Registration link forthcoming
	Towards a Chain of Confidence for Coloured Gemstones
	by TDI Sustainability and GemCloud

Time & Date TBD

TBD 1.5h	A decade of success: Reflections and lessons learned from ITSCI
	by the International Tin Association
	This session will focus on ITSCI's collaboration with industry, government, civil society and 3T mining communities. It will reflect on ten years of implementation, considering the challenges, successes, opportunities and critical learning points. Taking a global view, the session will consider how this success could be applied to other CAHRAs worldwide.

For more information, please contact akilmister@tdi-sustainability.com

	Registration link forthcoming
TBD 1h15	Artisanal Gold from Eastern DRC: Local voices and solutions to support responsible sourcing and commercial viability
	by the USAID-funded Commercially-Viable Conflict Free Gold (CVCFG) Project
	A discussion about local initiatives to support commercially-viable trade practices that meet responsible gold market requirements.
	Please register in advance to attend this session.
	Registration link forthcoming